

(1) (30 Points) Let

$$W = \left\{ p(t) = \sum_{i=0}^3 a_i t^i \in \text{Poly}_3(\mathbf{R}) \mid p(0) + p(1) = 0 \text{ and } 2p(0) + 3p(1) + p(2) = 0 \right\}.$$

- (a) Prove that  $W$  is a subspace of  $\text{Poly}_3(\mathbf{R})$ .
  - (b) Find a basis for  $W$  and find  $\dim(W)$ .
- (2) (35 Points) Answer (with brief justification) each question separately. Assume that  $U$ ,  $V$  and  $W$  are vector spaces.

- (a) If a set of  $n$  vectors spans  $V$ , what is the relationship between  $n$  and  $\dim(V)$ ?
- (b) If a set of  $m$  vectors is independent in  $W$ , what is the relationship between  $m$  and  $\dim(W)$ ?
- (c) If  $T = \{w_1, w_2, \dots, w_m\}$  is a subset of  $W$ , and  $w \in W$  is not in the span of  $T$ , what is the most you can say about  $T \cup \{w\} = \{w_1, \dots, w_m, w\}$ ?
- (d) If  $S = \{v_1, \dots, v_n\}$  is an independent set in  $V$  and  $v \in V$  is in the span of  $S$ , then what is the most you can say about  $S \cup \{v\} = \{v_1, \dots, v_n, v\}$ ?
- (e) If  $S = \{v_1, v_2, \dots, v_m\}$  is an independent set in vector space  $V$ , and  $T = \{w_1, w_2, \dots, w_n\}$  spans the same vector space  $V$ , what is the relationship between  $m$  and  $n$  and  $\dim(V)$ ?
- (f) Let  $A \in \mathbf{F}_n^m$  with  $m < n$ . What is the most you can say about the dimension of the subspace  $W = \{X \in \mathbf{F}^n \mid AX = 0\}$ ?
- (g) If  $U$  and  $W$  are subspaces of  $V$  with  $\dim(U) = 5$ ,  $\dim(W) = 7$  and  $\dim(V) = 10$ , what is the most you can say about  $\dim(U \cap W)$ ? Hint:  $(U + W) \leq V$  and  $(U \cap W) \leq W$ .

(3) (35 Points) Define the following two subspaces of  $\mathbf{R}_2^2$ :

$$U = \left\{ \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \mathbf{R}_2^2 \mid a + b + c + d = 0 \right\},$$

$$W = \left\{ \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \mathbf{R}_2^2 \mid a + 2b + 3c - d = 0 \text{ and } 2a + 3b - c + 5d = 0 \right\}.$$

- (a) Find a basis for  $U$ .
- (b) Find a basis for  $W$ .
- (c) Find a basis for the intersection  $U \cap W$ .
- (d) Knowing the dimensions of  $U$ ,  $W$  and  $U \cap W$ , what can you say about the dimension of the sum  $U + W$ ? What does that say about the subspace  $U + W$ ? Is it a direct sum? Explain your answer.

1. (30 Points) Let

$$W = \{p(t) = \sum_{i=0}^3 a_i t^i \in \text{Poly}_3(\mathbf{R}) \mid p(0) + p(1) = 0 \text{ and } 2p(0) + 3p(1) + p(2) = 0\}.$$

(a) (15 Points) Prove that  $W$  is a subspace of  $\text{Poly}_3(\mathbf{R})$ .

**SOLUTION:** One way to show  $W$  is a subspace is to show the zero polynomial is in  $W$  and that  $W$  is closed under addition and scalar multiplication. The zero polynomial  $\theta(t) = 0 + 0t + 0t^2 + 0t^3$  is in  $W$  because  $\theta(0) = 0$ ,  $\theta(1) = 0$  and  $\theta(2) = 0$ , so  $\theta(0) + \theta(1) = 0$  and  $2\theta(0) + 3\theta(1) + \theta(2) = 0$ . If  $p(t), q(t) \in W$ , then  $(p+q)(t) \in W$  because  $(p+q)(t) = p(t) + q(t)$  so  $(p+q)(0) + (p+q)(1) = (p(0) + q(0)) + (p(1) + q(1)) = (p(0) + p(1)) + (q(0) + q(1)) = 0 + 0 = 0$  and  $2(p+q)(0) + 3(p+q)(1) + (p+q)(2) = 2(p(0) + q(0)) + 3(p(1) + q(1)) + (p(2) + q(2)) = (2p(0) + 3p(1) + p(2)) + (2q(0) + 3q(1) + q(2)) = 0 + 0 = 0$ . If  $p(t) \in W$  and  $b \in \mathbf{R}$  then  $(bp)(t) \in W$  since  $(bp)(0) + (bp)(1) = b(p(0)) + b(p(1)) = b(p(0) + p(1)) = b(0) = 0$  and  $2(bp)(0) + 3(bp)(1) + (bp)(2) = b(2p(0) + 3p(1) + p(2)) = b(0) = 0$ .

(b) (15 Points) Find a basis for  $W$  and find  $\dim(W)$ .

**SOLUTION:** There is a way of answering both parts (a) and (b) at the same time, as follows. The condition  $p(0) + p(1) = 0$  means  $2a_0 + a_1 + a_2 + a_3 = 0$ , and the condition  $2p(0) + 3p(1) + p(2) = 0$  means  $6a_0 + 5a_1 + 7a_2 + 11a_3 = 0$ . To solve these two equations in four variables, row reduce

$$\left[ \begin{array}{cccc|c} 2 & 1 & 1 & 1 & 0 \\ 6 & 5 & 7 & 11 & 0 \end{array} \right] \text{ to } \left[ \begin{array}{cccc|c} 1 & 0 & -\frac{1}{2} & -\frac{3}{2} & 0 \\ 0 & 1 & 2 & 4 & 0 \end{array} \right] \text{ so } \begin{array}{l} a_0 = \frac{1}{2}r + \frac{3}{2}s \\ a_1 = -2r - 4s \\ a_2 = r \in \mathbf{R} \\ a_3 = s \in \mathbf{R} \end{array}$$

Then  $W = \{(\frac{1}{2}r + \frac{3}{2}s) + (-2r - 4s)t + rt^2 + st^3 \in \text{Poly}_3(\mathbf{R}) \mid r, s \in \mathbf{R}\}$  is the span of the set of two polynomials  $\{\frac{1}{2} - 2t + t^2, \frac{3}{2} - 4t + t^3\}$ , making  $W$  a subspace, and this set is independent since the only way to get  $\theta(t) = (\frac{1}{2}r + \frac{3}{2}s) + (-2r - 4s)t + rt^2 + st^3$  is when  $r = 0$  and  $s = 0$ . So that set is a basis of  $W$ . Since a basis for  $W$  has two vectors, we get  $\dim(W) = 2$ .

2. (35 points, 5 points each)

(a)  $\dim(V) \leq n$  (A spanning set can be cut down to a basis.)

(b)  $m \leq \dim(W)$  (An independent set can be extended to a basis.)

(c) The span of  $T \cup \{w\}$  is strictly larger than the span of  $T$ . (It contains all of the span of  $T$  as well as  $w$ .)  $\dim(\langle T \cup \{w\} \rangle) = \dim(\langle T \rangle) + 1$ . If  $T$  were independent, then  $T \cup \{w\}$  would also be independent, since no vector would be a combination of previous vectors on the list. If  $T$  were dependent, then  $T \cup \{w\}$  would also be dependent, since any set containing a dependent set is also dependent.

(d)  $S \cup \{v\}$  is dependent: the last vector is a linear combo of previous vectors. Also,  $\langle S \cup \{v\} \rangle = \langle S \rangle$  since  $v \in \langle S \rangle$ .

(e)  $m \leq \dim(V) \leq n$ . (combining results of parts (a) and (b).)

(f) Since  $m < n$  the linear system has more variables than equations, so it must have nontrivial solutions. When the augmented matrix is row reduced, it can have at most  $m$  leading ones, so there are at least  $n - m$  columns without leading ones, giving at least  $n - m$  free variables in the solution space. Therefore,  $\dim(W) \geq n - m$ .

(g) If  $U$  and  $W$  are of  $V$  with  $\dim(U) = 5$ ,  $\dim(W) = 7$  and  $\dim(V) = 10$ , what is the most you can say about  $\dim(U \cap W)$ ? Hint:  $(U + W) \leq V$  and  $(U \cap W) \leq W$ . From the formula  $\dim(U + W) = \dim(U) + \dim(W) - \dim(U \cap W)$  and the fact that  $\dim(U + W) \leq \dim(V)$ , we can say that  $5 + 7 - \dim(U \cap W) \leq 10$ , so  $2 = 5 + 7 - 10 \leq \dim(U \cap W)$  is a lower bound. Also, since  $(U \cap W) \leq W$ , we have the upper bound  $\dim(U \cap W) \leq 5$ , so the most we can say is  $2 \leq \dim(U \cap W) \leq 5$ .

3. (35 points) (a) (5 Points) Since  $U$  is given by only one equation, and the matrix  $\begin{bmatrix} 1 & 1 & 1 & 1 & | & 0 \end{bmatrix}$  is already in RREF, the interpretation is  $a = -b - c - d$ , with  $b, c, d \in \mathbf{R}$  free variables. Then

$$U = \left\{ \begin{bmatrix} -b - c - d & b \\ c & d \end{bmatrix} = b \begin{bmatrix} -1 & 1 \\ 0 & 0 \end{bmatrix} + c \begin{bmatrix} -1 & 0 \\ 1 & 0 \end{bmatrix} + d \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix} \mid b, c, d \in \mathbf{R} \right\}$$

showing that a basis for  $U$  is  $\left\{ \begin{bmatrix} -1 & 1 \\ 0 & 0 \end{bmatrix}, \begin{bmatrix} -1 & 0 \\ 1 & 0 \end{bmatrix}, \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix} \right\}$ .

- (b) (10 Points) Since  $W$  is given by two equations, solve it by reducing

$$\begin{bmatrix} 1 & 2 & 3 & -1 & | & 0 \\ 2 & 3 & -1 & 5 & | & 0 \end{bmatrix} \text{ to } \begin{bmatrix} 1 & 0 & -11 & 13 & | & 0 \\ 0 & 1 & 7 & -7 & | & 0 \end{bmatrix} \text{ so } \begin{array}{l} a = 11c - 13d \\ b = -7c + 7d \\ c \in \mathbf{R} \\ d \in \mathbf{R} \end{array}$$

so that

$$W = \left\{ \begin{bmatrix} 11c - 13d & -7c + 7d \\ c & d \end{bmatrix} = c \begin{bmatrix} 11 & -7 \\ 1 & 0 \end{bmatrix} + d \begin{bmatrix} -13 & 7 \\ 0 & 1 \end{bmatrix} \mid c, d \in \mathbf{R} \right\}$$

showing that a basis for  $W$  is  $\left\{ \begin{bmatrix} 11 & -7 \\ 1 & 0 \end{bmatrix}, \begin{bmatrix} -13 & 7 \\ 0 & 1 \end{bmatrix} \right\}$ .

- (c) (10 Points) The intersection  $U \cap W$  is given by the three equations, one giving conditions to be in  $U$  and the other two to be in  $W$ . Since we already row reduced the two equations for  $W$ , we can just add on the one more row for  $U$  and reduce

$$\begin{bmatrix} 1 & 0 & -11 & 13 & | & 0 \\ 0 & 1 & 7 & -7 & | & 0 \\ 1 & 1 & 1 & 1 & | & 0 \end{bmatrix} \text{ to } \begin{bmatrix} 1 & 0 & 0 & 2 & | & 0 \\ 0 & 1 & 0 & 0 & | & 0 \\ 0 & 0 & 1 & -1 & | & 0 \end{bmatrix} \text{ so } \begin{array}{l} a = -2d \\ b = 0 \\ c = d \\ d \in \mathbf{R} \end{array}$$

$$\text{so that } U \cap W = \left\{ \begin{bmatrix} -2d & 0 \\ d & d \end{bmatrix} = d \begin{bmatrix} -2 & 0 \\ 1 & 1 \end{bmatrix} \mid d \in \mathbf{R} \right\}$$

showing that a basis for  $U \cap W$  is  $\left\{ \begin{bmatrix} -2 & 0 \\ 1 & 1 \end{bmatrix} \right\}$ .

- (d) (10 Points) Since  $\dim(U) = 3$ ,  $\dim(W) = 2$  and  $\dim(U \cap W) = 1$ , from the formula  $\dim(U+W) = \dim(U) + \dim(W) - \dim(U \cap W) = 3 + 2 - 1 = 4 = \dim(\mathbf{R}_2^2)$  we get that  $U + W = \mathbf{R}_2^2$ . The sum  $U + W$  is not direct because the intersection  $U \cap W$  is non-trivial.